



GL Broking Enterprises c.c.
(CK 93/07502/23)
T/As

• **GARY LEECH** •
Insurance Brokers

*A Member of the
G. L. Group of Companies*

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INTERMEDIARY DISCLOSURE

In terms of the Financial Advisory and Intermediary Services Act (FAIS) (ACT NO 37 OF 2002) the following information must be disclosed to clients and potential clients:

Full Name of Advisor: Kathy Petherbridge ID7005230281083

I am an Independent Financial Advisor and have been in practice since February 2002.

Gary Leech Insurance Brokers holds a Category I licence and is authorised to provide advice and intermediary services with respect to the following business lines:

Personal Lines Commercial Lines

I have achieved the following formal qualifications: NQFL 4 – 150 Credits, RE5 Representatives and RE1 Key Individual.

I am a Key Individual and Representative of the above-mentioned brokerage. and as such I have access to and have been accredited to market products from the following product providers:

Zurich, MUA, Execuline, M&F, Santam, AIG, Absa, Frontline, First Property Services, Corporte Sure, Cyclesure, AMUA, HIU, BNB Sure, CIA, A&G, Hollard, Renasa and any other Product Provider Gary Leech Insurance Brokers signs an Agency with under the Category 1 licence.

Gary Leech Insurance Brokers does not own more than 10 % of issued shares directly or indirectly and is not an associated company product provider.

Income is earned from commission that the Product Provider pays over to Gary Leech Insurance Brokers on the products purchased by their clients.

Gary Leech Insurance Brokers has Professional Indemnity Insurance cover of R1 000 000

Compliance Officer: Independent Compliance Management Solutions who is represented by: Debbie Smith: T 072 550 8238, F 086 606 9660, Email dsmith@compliancesolution.net

Complaints: Our complaints resolution process is available upon request, please contact our offices if you require a copy of this document.

Conflict of Interest Policy: We have a documented Conflict of interest policy as well as a gift and incentives register.

PPR: You are entitled to receive a Statutory Notice from me setting out your rights as a policyholder

Wavering of rights: As a client, no provider may request or induce you in any manner to waive any right or benefit conferred on you by, or in terms of any provision of the FAIS Act and the General Code of Conduct.

Product Disclosures: Although no specific needs or products have yet been discussed, disclosures about the product provider must be made. Kindly refer to subsequent documentation such as a quote, annexure or policy document for information on Product Suppliers: name, physical and postal address, telephone details, name and contact details of their compliance department.