



GARY LEECH

Insurance Brokers

A Member of the
G.L Group of Companies

17 Brand Avenue,
Glenwood
Durban
4001
Tel: 031 202 4161
Fax: 031 202 3892
Cell: 083 300 7505
www.garyleech.co.za

Advice record in terms of section 8(4)(a) of the General Code

(Full needs analysis was not conducted)

Section 1: Client details

Title _____ Initials _____ First name _____ Surname _____

Section 2: Reason for the fact that there is no needs analysis in place (tick the appropriate box)

- The client had a financial needs analysis conducted on date : _____
- The client had requested a QUOTE on his Short Term requirements identified by himself as follows:
Motor only Motor and Household Household only

Section 3: Advice record

Client's short term requirements: Motor only Motor and Household Household only

Actions/Products recommended to address client's requirements and reasons for recommendations: (*For office Use Only*)

Description of Product Recommendation:	Product type and provider	Reason for recommendation

Section 4: Declaration by the advisor (*For office use only*)

5.1. I declare that the advice record is an accurate and complete record of the recommendations and advice that I gave the client

5.2. The advice that I provided is subject to Section 8(4) of the General Code of Conduct

K. Petherbridge

Signature of advisor

1	9	0	4	2	0	1	3
---	---	---	---	---	---	---	---

Date

Section 6: Declaration by the client

6.1. I hereby declare that I am aware of the fact that-

- a full analysis could not be undertaken and that there may thus be limitations on the appropriateness of the advice; and
- that I must carefully consider whether the advice on its own is appropriate considering my objectives, financial situation and needs;
- to prevent the risk of concluding a transaction that is not appropriate to my needs, objectives and circumstances, I should obtain a full financial needs analysis.

Client Signature

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Date